

THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK

ROBERT P. "SKIP" CUMMINS

Plaintiff

-Against-

SUNTRUST CAPITAL MARKETS, INC.,  
AMIT HAZAN, AND JONATHAN BLOCK

## Defendants

USDC SDNY  
DOCUMENT  
ELECTRONICALLY FILED  
DOC #  
DATE 1/4/07

Case Number: 07 CV 4633 (JGK)

not include any information that is in the public domain, that becomes part of the public domain through no fault of the other party, the receiving party can show that the information was in its rightful and lawful possession at the time of disclosure, or the receiving party lawfully receives such information at a later date from a third party without restriction as to disclosure, provided such third party has the right to make the disclosure to the receiving party.

3. Documents designated as "Confidential" shall be used only in connection with this case and may not be disclosed wholly, in part, or in substance to persons not parties to this lawsuit except as set forth below. Nothing herein is intended to prohibit any party from using "confidential" information or documents for any part or aspect of the litigation or this case.

4. Documents and other materials, including but not limited to deposition testimony, designated "Confidential" pursuant to the terms of this Order shall not be disclosed to anyone other than trial counsel; employees, agents, and professional assistants of counsel; the Parties; expert witnesses, trial witnesses, and third-party witnesses who agree to be bound by the terms of this Agreed Protective Order; deponents; the Court; jurors; court reporters and their stenographic employees.

5. When disputes arise as to the applicability of this Order to any information produced by Plaintiff or Defendants, such disputes shall be resolved, if possible, by agreement of the Parties to this action. If, after a reasonable time, the Parties cannot reach an agreement to resolve such a dispute, the Court, on motion of any Party, will consider the dispute and rule accordingly. The party designating the documents as "confidential" shall have the burden of establishing the confidential nature of such documents as provided herein.

6. The inadvertent or unintentional disclosure of any confidential information shall not be construed to be a waiver, in whole or in part, of the Plaintiff's or Defendants' claims of

confidentiality, either as to the specific confidential information disclosed or as to other related information. If, through inadvertence, discovery was not marked as "Confidential" a Party may notify the other Party that the designation shall be changed. Once such notice has been received, the discovery shall be treated in accordance with its new designation; if such discovery previously was given to a person not entitled to receive it under this Order, it shall be retrieved immediately.

7. If material that is, or may reasonably be construed to be, subject to the attorney-client privilege, the work-product doctrine, or any other applicable privilege or doctrine ("Privileged Material") is produced by either Party (the "Producing Party"), with or without a "Confidential" designation, such production shall be deemed to have been inadvertent and shall not constitute a waiver of said privilege or doctrine. The Privileged Material shall be returned by the Party receiving the Privileged Material ("Receiving Party") to the Producing Party immediately upon notice by the Producing Party to the Receiving Party of the production of Privileged Material.

8. Any material designated or marked "Confidential" that is filed or submitted in this case shall be filed in a sealed envelope bearing suitable identification and should not be available to any person other than as authorized herein.

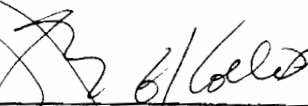
9. This Protective Order shall govern pretrial proceedings but shall be subject to modification either before, during, or after the trial upon the merits, upon application of any of the Parties to this lawsuit and for good cause shown.

10. The provisions of this Order shall not affect the admissibility or use of such confidential information at trial or any preliminary evidentiary proceeding in open court, except as directed by separate order entered for good cause shown.

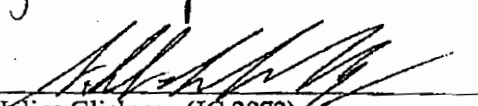
11. All documents and all copies of documents produced by Plaintiff or Defendants which are designated "Confidential" shall, upon request, be returned to the Party that produced such

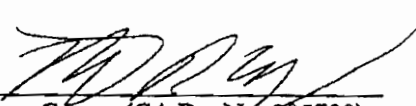
documents within 30 days after final judgment and the conclusion of all appeals, if any.

SO ORDERED this 10 day of September, 2007.

  
HONORABLE JOHN G. KOELTL  
UNITED STATES DISTRICT JUDGE

AGREED and ACCEPTED this  
5 day of September, 2007.

  
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*Attorneys for Plaintiff*

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*This Order is not binding on the  
Court or Court personnel.*

*The Court reserves the right  
to amend this Order at  
any time.*

*9/10/07*

*so ordered  
by J.G. Koeltl  
U.S.D.J.*